## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Poh-Boon PHUA et al.

Filed:

herewith

Title:

INDEPENDENTLY VARIABLE FIRST AND SECOND ORDER

POLARIZATION MODE DISPERSION COMPENSATOR

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

- [x] within three months of the filing date of the above-identified application
- [x] before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: Sept. 17, 2003

Brenda Pomerance, Reg. No. 36,894

Brenda Pomerance Law Office of Brenda Pomerance 260 West 52 St. Ste. 27B New York, NY 10019 212 245-3940

## Substitute Form PTO 1449

|                                  | Application No.  |             |
|----------------------------------|------------------|-------------|
|                                  | Filing Date      | herewith    |
| INFORMATION DISCLOSURE STATEMENT | Inventor         | PHUA et al. |
| Sheet 1 of 1                     | Atty. Docket No. | 1300-4003   |

| U.S. PATENT DOCUMENTS                  |     |                  |                  |          |            |               |  |
|--|-----|------------------|------------------|----------|------------|---------------|--|
| Examiner   Cite   U.S. Patent Document |     | Name of Patentee | Publication Date | Relevant |            |               |  |
| Initials                               | No. | Number           | Kind Code        |          | MM-DD-YYYY | text or Figs. |  |
|  | AA  | 2002/0118455     | A1               | Damask   | 08-29-2002 |               |  |
|  | AB  |                  |                  |          |            |               |  |

| FOREIGN PATENT DOCUMENTS |      |        |                  |           |                  |                  |               |       |
|--------------------------|------|--------|------------------|-----------|------------------|------------------|---------------|-------|
| Examiner                 | Cite | Fo     | reign Patent Doo | uments    | Name of Patentee | Publication Date | Relevant      | Trsl. |
| Initials                 | No.  | Office | Number           | Kind Code | or Assignee      | MM-DD-YYYY       | text or Figs. | (4)   |
|                          | BA   |        |                  |           |                  |                  |               |       |
|                          | BB   |        |                  |           |                  |                  |               |       |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS |  |  |              |  |  |
|---|--|--|--------------|--|--|
| Examiner<br>Initials                              | Cite<br>No.                                      | AUTHOR, title, magazine, date, pages, publisher, city where published  | Trsl.<br>(√) |  |  |
|   | CA   | Yan, L.S. et al., "Fast Digitally Variable Differential Group Delay Module Using Polarization Switching", Optical Society of America, pp FA5-1 – FA5-3, 2002 |              |  |  |
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| Examiner  |   | Date       |   |
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| Signature | , | Considered | = |